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UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>		Attorney Docket No. LOCK 3.0-001 First Inventor Scott Searle Title THEFT RESISTANT GRAPHICS Express Mail Label No. EL756209805US	
APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.		ADDRESS TO: Box Patent Application Commissioner for Patents Washington, DC 20231	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit as original, and a duplicate for fee processing)</small> 2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27 3. <input checked="" type="checkbox"/> Specification [Total Pages 37] <small>(preferred arrangement set forth below)</small> <ul style="list-style-type: none"> - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the invention - Brief Summary of the invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies		
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 11] 5. Oath or Declaration [Total Pages 2] a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed) i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.35(b). 6. <input type="checkbox"/> Application Data Sheet See 37 CFR 1.76		ACCOMPANYING APPLICATIONS PARTS 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee) 11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i) Applicant must attach form PTO/SB/35 or its equivalent 17. <input type="checkbox"/> Other:	
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.: _____ Prior application information: Examiner _____ Group / Art Unit: _____ For CONTINUATION or DIVISIONAL APPS only. The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can</u> only be relied upon when a portion has been inadvertently omitted from the submitted application parts.			
19. CORRESPONDENCE ADDRESS			
<input checked="" type="checkbox"/> Customer Number or Bar Code Label		 000530	
		or <input type="checkbox"/> Correspondence address below	
Name			
Address			
City		State	Zip Code
Country	Telephone	Fax	
Name (Print/Type) Jeffrey S. Dickey		Registration No. (Attorney/Agent) 35,858	
Signature		Date	March 1, 2002
Express Mail Label No. EL756209805US Dated: March 1, 2002			

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FEE TRANSMITTAL

for FY 2002

Patent fees are subject to annual revision.

☐ Applicant claims small entity status See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 740.00

Complete if Known

Application Number	
Filing Date	Herewith
First Named Inventor	Scott Searle
Examiner Name	Not Yet Assigned
Group Art Unit	Not Yet Known
Attorney Docket No.	LOCK 3.0-001

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account

Deposit Account Number 12-1095

Deposit Account Name Lemer, David, Littenberg, Krumholz & Mentlik, LLP

The Commissioner is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	740	201	370			Utility filing fee	740.00
106	330	206	165			Design filing fee	
107	510	207	255			Plant filing fee	
108	740	208	370			Reissue filing fee	
114	160	214	80			Provisional filing fee	
SUBTOTAL (1)						(\$)	740.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

		Extra Claims	Fee from below	Fee Paid
Total Claims	5	-20** =	x	0.00
Independent Claims	2	-3** =	x	0.00
Multiple Dependent				

Large Entity			Small Entity			Fee Description
Fee Code	Fee (\$)		Fee Code	Fee (\$)		
103	18		203	9	Claims in excess of 20	
102	84		202	42	Independent claims in excess of 3	
104	280		204	140	Multiple dependent claim, if not paid	
109	84		209	42	** Reissue independent claims over original patent	
110	18		210	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$)	0.00

** or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity				Fee Description	Fee Paid	
Fee Code	Fee (\$)	Fee Code	Fee (\$)					
105	130	205	65			Surcharge -- late filing fee or oath		
127	50	227	25			Surcharge -- late provisional filing fee or cover sheet.		
139	130	139	130			Non-English specification		
147	2,520	147	2,520			For filing a request for ex parte reexamination		
112	920*	112	920*			Requesting publication of SIR prior to Examiner action		
113	1,840*	113	1,840*			Requesting publication of SIR after Examiner action		
115	110	215	55			Extension for reply within first month		
116	400	216	200			Extension for reply within second month		
117	920	217	460			Extension for reply within third month		
118	1,440	218	720			Extension for reply within fourth month		
128	1,960	228	980			Extension for reply within fifth month		
119	320	219	160			Notice of Appeal		
120	320	220	160			Filing a brief in support of an appeal		
121	280	221	140			Request for oral hearing		
138	1,510	138	1,510			Petition to institute a public use proceeding		
140	110	240	55			Petition to revive -- unavoidable		
141	1,280	241	640			Petition to revive - unintentional		
142	1,280	242	640			Utility issue fee (or reissue)		
143	480	243	230			Design issue fee		
144	520	244	310			Plant issue fee		
122	130	122	130			Petitions to the Commissioner		
123	50	123	50			Processing fee under 37 CFR 1.17(g)		
126	160	126	160			Submission of Information Disclosure Stmt		
581	40	581	40			Recording each patent assignment per property (times number of properties)		
146	740	246	370			Filing a submission after final rejection (37 CFR 1.129(a))		
149	740	249	370			For each additional invention to be examined (37CFR 1.129(h))		
179	740	279	370			Request for Continued Examination (RCE)		
169	900	169	900			Request for expedited examination of a design application		
Other fee (specify)								
*Reduced by Basic Filing Fee Paid						SUBTOTAL (3)	(\$)	0.00

SUBMITTED BY

Name (Print/Type) Jeffrey S. Dickey

Signature

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Complete (if applicable)

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Date

March 1, 2002